

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE  
(REV. 7-2005)

ATTORNEY'S DOCKET NUMBER

281383US0XPCT

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**10/557602**INTERNATIONAL APPLICATION NO.  
**PCT/JP04/07321**INTERNATIONAL FILING DATE  
**21 May 2004**PRIORITY DATE CLAIMED  
**23 May 2003**

## TITLE OF INVENTION

**IMMUNOCOMPETENT CELL ACTIVATION INHIBITOR AND USE THEREOF**

## APPLICANT(S) FOR DO/EO/US

**Shigeyuki KON et al.**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

**Items 13 to 23 below concern document(s) or information included:**

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☐ Express Mail Label No.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |              |                                                                        |            |                                                  |            |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|------------------------------------------------------------------------|------------|--------------------------------------------------|------------|
| U.S. APPLICATION NO (if known, see 37 CFR 1.5)<br><b>10/557602</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |              | INTERNATIONAL APPLICATION NO.<br><b>PCT/JP04/07321</b>                 |            | ATTORNEY'S DOCKET NUMBER<br><b>281383US0XPCT</b> |            |
| 23. Other items or information:<br>Application Data Sheet      Notice of Priority<br>PCT/IB/304                      PCT/IB/308<br>Request for Consideration                                                                                                                                                                                                                                                                                                                                                                                                                                    |              |                                                                        |            |                                                  |            |
| The following fees have been submitted:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |              |                                                                        |            | <b>CALCULATIONS      PTO USE</b>                 |            |
| 24. <input checked="" type="checkbox"/> Basic national fee . . . . . \$300                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |              |                                                                        |            | \$                                               | \$300.00   |
| 25. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))<br>If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article<br>prepared by IPEA/US indicates all claims satisfy provisions of PCT Article      \$0<br>All other situations. . . . . \$200                                                                                                                                                                                                                  |              |                                                                        |            | \$                                               | \$200.00   |
| 26. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))<br>If the written opinion of the ISA/US or the international preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). . . \$0<br>Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the as an International Searching Authority. . . . . \$100<br>International Search Report prepared by an ISA other than the US and provided to the previously communicated to the US by the IB. . . . . \$400<br>All other situations. . . . . \$500 |              |                                                                        |            | \$                                               | \$400.00   |
| <b>TOTAL OF 24, 25 and 26 =</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |              |                                                                        |            | \$                                               | \$900.00   |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).<br>The fee is \$250 for each additional 50 sheets of paper or fraction thereof.                                                                                                                                                                                                                                                             |              |                                                                        |            |                                                  |            |
| Total Sheets                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole) | RATE       |                                                  |            |
| - 100 =                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 0 /50 =      | 0                                                                      | x \$250.00 | \$                                               | \$0.00     |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).                                                                                                                                                                                                                                                                                                                                                                                                                 |              |                                                                        |            | \$                                               | \$130.00   |
| CLAIMS                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | NUMBER FILED | NUMBER EXTRA                                                           | RATE       |                                                  |            |
| Total claims                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | - 20 =       | 0                                                                      | x \$50.00  | \$                                               | \$0.00     |
| Independent claims                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | - 3 =        | 0                                                                      | x \$200.00 | \$                                               | \$0.00     |
| MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |              |                                                                        |            | \$                                               | \$0.00     |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |              |                                                                        |            | \$                                               | \$1,030.00 |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |              |                                                                        |            | \$                                               | \$0.00     |
| <b>SUBTOTAL =</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |              |                                                                        |            | \$                                               | \$1,030.00 |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).                                                                                                                                                                                                                                                                                                                                                                                                                                               |              |                                                                        |            | \$                                               | \$130.00   |
| <b>TOTAL NATIONAL FEE =</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |              |                                                                        |            | \$                                               | \$1,160.00 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +                                                                                                                                                                                                                                                                                                                                                                                                                           |              |                                                                        |            | \$                                               | \$0.00     |
| <b>TOTAL FEES ENCLOSED =</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |              |                                                                        |            | \$                                               | \$1,160.00 |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |              |                                                                        |            | Amount to be                                     | \$         |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |              |                                                                        |            | Amount to be                                     | \$         |

10/557602

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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APZ0 REC UPT/PTO 22 NOV 2005

- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Customer Number  
22850

Surinder Sachar  
Registration No. 34,423

Surinder Sachar  
SIGNATURE

Norman F. Oblon

NAME

24,618

REGISTRATION NUMBER

Nov. 22 2005  
DATE

DOCKET NO.: 281383US0XPCT

IAP20 Rec'd PCT/PTO 22 NOV 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Shigeyuki KON, et al.

SERIAL NO.: NEW U.S. PCT APPLICATION

FILED: HEREWITH

INTERNATIONAL APPLICATION NO.: PCT/JP04/07321

INTERNATIONAL FILING DATE: May 21, 2004

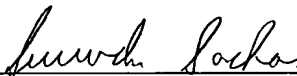
FOR: IMMUNOCOMPETENT CELL ACTIVATION INHIBITOR AND USE THEREOF

**REQUEST FOR CONSIDERATION OF DOCUMENTS  
CITED IN INTERNATIONAL SEARCH REPORT**Commissioner for Patents  
Alexandria, Virginia 22313

Sir:

In the matter of the above-identified application for patent, notice is hereby given that applicant(s) request that the Examiner consider the documents cited in the International Search Report according to MPEP §609 and so indicate by a statement in the first Office Action that the information has been considered. When the Form PCT/DO/EO/903 indicates both the search report and copies of the documents are present in the national stage file, there is no requirement for the applicant(s) to submit them (1156 O.G. 91 November 23, 1993).

Respectfully submitted,

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